

Docket No. 287026US0PCT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: John SURTEES, et al.

SERIAL NO: New U.S PCT Application Based on PCT/EP04/10212

GAU:

FILED: Herewith

EXAMINER:

FOR: PROCESS FOR PREPARING 2-OXO-1-PYRROLIDINE DERIVATIVES

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☒ The applicant(s) wish to make of record the references cited in the International Search Report and listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☐ Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.
Norman F. Oblon

Norman F. Oblon

Registration No. 24,618

Corwin P. Umbach, Ph.D.

Registration No. 40,211

Surinder Sachar

Registration No. 34,423

Customer Number

22850

Tel. (703) 413-3000
Fax. (703) 413-2220
(OSMMN 05/03)

10/572579

IAP9 Rec'd PCT/EP 20 MAR 2006

Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 287026US0PCT		SERIAL NO. New U.S. PCT Application Based on PCT/EP04/10212	
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT John SURTEES, et al.		GROUP	
				FILING DATE Herewith			
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
		AA					
		AB					
		AC					
		AD					
		AE					
		AF					
		AG					
		AH					
		AI					
		AJ					
		AK					
		AL					
		AM					
		AN					
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES NO		
/K.S./	AO	01/62726	3/30/2001	WO			NO
/K.S./	AR	03/014080	2/20/2003	WO			NO
/K.S./	AQ	0 162 036	11/21/1985	EP			NO
/K.S./	AR	2 225 322	5/30/1990	GB			NO
/K.S./	AS	02/076451	10/3/2002	WO			NO
/K.S./	AT	02/094767	11/28/2002	WO			NO
	AU						
	AV						
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)							
	AW	LAFFAN, David D.P. et al, "DATABASE CROSSFIRE BEILSTEIN Online!", Beilstein Institut Zur Foerderung der Chemischen Wissenschaften, Vol. 75, No. 3, Pages 892-900, 1992					
	AX						
	AY						
	AZ					<input type="checkbox"/> Additional References sheet(s) attached	
Examiner		/Kamal Saeed/				Date Considered 07/20/2009	

*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.